

FACTS**WHAT DOES FUTU INC. DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and date of birth
- Address and contact information
- Assets, income, account balances, and transaction history
- Risk tolerance and transaction history

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Futu Inc. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Futu Inc. share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus		
For our marketing purposes— to offer our products and services to you		
For joint marketing with other financial companies		
For our affiliates' everyday business purposes— information about your transactions and experiences		
For our affiliates' everyday business purposes — information about your creditworthiness		
For our affiliates to market to you		
For nonaffiliates to market to you		

To limit our sharing

Email us at cs@fututrade.com

Please note:

If you are a *new* customer, we can begin sharing your information from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

Questions?

Email us at cs@fututrade.com

Who we are

Who is providing this notice?

Futu Inc.

What we do

How does Futu Inc. protect my personal information?

To protect your personal information from unauthorized access, we use a variety of measures designed to comply with federal law. Such measures include both proprietary and commercially available software and encryption programs. We also train our personnel on procedures and protocols to enhance protection against unauthorized access.

How does Futu Inc. collect my personal information?

We collect your personal information when you open an account with us. During the account opening process, we may contact third parties to verify the personal information you provide to us. We may collect additional personal information about your financial accounts when you transmit payment for securities purchased through us.

Why can't I limit all sharing?

Federal law only authorizes you to limit sharing with our affiliates and with third parties.

State laws and individual companies may give you additional rights to limit sharing. See below for more information.

What happens when I limit sharing for an account I hold jointly with someone else?

[Your choices will apply to everyone on your account.]

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

Joint marketing

An agreement between nonaffiliated companies to jointly market their services or products to consumers. Futu Inc. does not engage in joint marketing with nonaffiliated companies.

Other important information

For California Residents. We will not share information we collect about you with nonaffiliated companies, except as permitted by law. For example, we may share information with your consent or to service your accounts. Among our affiliates, we will limit information sharing to the extent required by California law.

For Vermont Residents. We will not share information we collect about you with nonaffiliated companies, except as permitted by law. For example, we may share information with your consent or to service your accounts. We will not share information about your creditworthiness with our affiliates without your authorization or consent, but we may share information about our transactions or experiences with you with our affiliates without your consent.